Risk for Facial Palsy after COVID-19 Vaccination, South Korea, 2021–2022

Dongwon Yoon,¹ Kyungyeon Jung,¹ Ju Hwan Kim, Hwa Yeon Ko, Byeol-A Yoon,² Ju-Young Shin,² CoVaSC Investigators

We conducted a self-controlled case series study to investigate the association between COVID-19 vaccination and facial palsy (FP) in South Korea. We used a large immunization registry linked with the national health information database. We included 44,564,345 patients ≥18 years of age who received ≥1 dose of COVID-19 vaccine (BNT162b2, mRNA-1273, ChAdOx1 nCoV-19, or Ad.26.COV2.S) and had an FP diagnosis and corticosteroid prescription within 240 days postvaccination. We compared FP incidence in a risk window (days 1–28) with a control window (the remainder of the 240-day observation period, excluding any risk windows). We found 5.211 patients experienced FP within the risk window and 10,531 experienced FP within the control window. FP risk increased within 28 days postvaccination, primarily after first and second doses and was observed for both mRNA and viral vaccines. Clinicians should carefully assess the FP risk-benefit profile associated with the COVID-19 vaccines and monitor neurologic signs after vaccination.

A mid the COVID-19 pandemic, vaccines were widely distributed under emergency use authorizations worldwide (1,2). During the development phase of COVID-19 vaccines, although no severe safety concerns were evident in any of the pivotal clinical trials, an imbalance in facial palsy (FP) incidence after vaccination was observed in vaccinated persons compared with the general population (3-6). Although the exact etiology of FP remains elusive, infection, autoimmune mechanisms, or vaccination are

Author affiliations: Sungkyunkwan University Department of Biohealth Regulatory Science, Suwon, South Korea (D. Yoon, K. Jung, J.H. Kim, J.-Y. Shin); Sungkyunkwan University School of Pharmacy, Suwon (D. Yoon, J.H. Kim, H.Y. Ko, J.-Y. Shin); Dong-A University College of Medicine Department of Neurology, Busan, South Korea (B.-A. Yoon); Sungkyunkwan University Samsung Advanced Institute for Health Sciences & Technology (SAIHST), Seoul, South Korea (J.-Y. Shin) considered potential contributors to its development (7,8). Because of its sudden and acute symptom onset, characterized by facial muscle paralysis, FP has been included in the priority list of adverse events of special interest generated by the Safety Platform for Emergency vACcines (SPEAC) (9).

Multiple studies on the association of FP after COVID-19 vaccination have been reported (10–14), but the results from those studies have been inconsistent and lack a clear consensus. The variability in study results may be attributed to several factors, including limited statistical power because of small study populations and heterogeneity among studies in terms of population, ethnicity, vaccine types, doses, observation periods, and statistical methods. Even though a systematic review and meta-analysis were conducted to address those concerns (15,16), reaching definitive conclusions was challenging because the limited definition of eligibility criteria in that analysis, such as types of studies or study participants, did not fully encompass all available evidence on FP.

Because of the controversial and inconclusive results of existing studies, previous evidence necessitates an in-depth body of evidence and a clear consensus on the safety of COVID-19 vaccines concerning FP. Using 2 large, linked databases in South Korea, we conducted a self-controlled case series analysis to address the inconsistent findings of previous studies and provide an updated overall assessment of the potential association between FP and COVID-19 vaccines.

Methods

Data Sources

This research was conducted as part of COVID-19 Vaccine Safety Research Committee (CoVaSC) in South Korea with the aim of providing evidence on

DOI: https://doi.org/10.3201/eid3011.240610

¹These first authors contributed equally to this article.

²These last authors contributed equally to this article.

the safety of COVID-19 vaccines for immunization. In South Korea, several types of COVID-19 vaccine were available during the study period: BNT162b2 (Pfizer-BioNTech, https://www.pfizer.com), mRNA-1273 (Moderna, https://www.modernatx.com), ChAdOx1 nCoV-19 (AstraZeneca, https://www.astrazeneca. com), Ad.26.COV2.S (Janssen, https://www.janssen. com), and NVX-CoV2373 (Novavax, https://www. novavax.com) (17).

To obtain vaccine and adverse event data, we linked data from 2 large databases: the COVID-19 immunization registry (February 26, 2021–October 31, 2022) managed by the Korea Disease Control and Prevention Agency (KDCA) and healthcare claims data (January 1, 2002–October 31, 2022) provided by National Health Insurance Service (NHIS). During the COVID-19 pandemic in South Korea, KDCA and the government oversaw the distribution of vaccines and established the immunization registry covering the entire population. The registry included crucial information, such as age at vaccination, date of vaccination, type of vaccine administered, and dosing schedule of specific vaccines.

In accordance with the single-payer insurance provider system in South Korea, the NHIS covers the entire population of >50 million. The claims database of NHIS contains comprehensive healthcare utilization information on reimbursed patient visits, such as medical diagnoses, drug prescriptions, and medical screening data, which can be provided in an anonymized format. Diagnosis records are coded according to the International Classification of Disease 10th Revision (ICD-10), and drug prescriptions can be identified by national drug codes based on the Anatomic Therapeutic Chemical (ATC) classification of the World Health Organization.

This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline and was approved by the Public Institutional Review Board Designated by Ministry of Health and Welfare (approval no. P01-202203-01-005) and performed in accordance with the principles of the Declaration of Helsinki (World Medical Association, https://www.wma.net). The requirement of informed consent was waived because this study used anonymized administrative claims data.

Study Population

We identified persons ≥18 years of age who received an initial COVID-19 vaccine dose during February 26, 2021–March 1, 2022. Among that population, we identified and included patients with a primary FP diagnosis accompanied by a prescription for corticosteroids during February 26, 2021–October 31, 2022. Because we adopted a self-controlled case series analysis (SCCS), we further included patients who received a COVID-19 vaccination and had incident FP diagnosed within a prespecified observation period. Exclusion criteria comprised foreign born residents, participants in clinical trials, persons vaccinated abroad, and persons deviating from vaccination guidelines (i.e., incomplete immunization information) to account for potential exposure misclassification. In addition, we excluded persons with a history of FP in the year preceding the observation period and those whose FP cases occurred after the end of observation period (Figure 1).

SCCS Design

To investigate the risk for FP after COVID-19 vaccination, we used an SCCS design (18). SCCS uses within-person comparisons, which offers the advantage of minimizing the potential effects of time-invariant confounders that could be major limitations of the conventional cohort designs. We adopted the SCCS design because we recognized that selecting appropriate comparison groups would be challenging because of the high COVID-19 immunization rates in South Korea. We specified an observation period of 240 days after the first dose of any COVID-19 vaccine. We defined the risk window as days 1-28 after each dose of COVID-19 vaccination, with day 0 indicating the time of vaccination. We chose that timeframe as the risk window because persons are at a higher risk for FP occurrence during that period (Appendix Figure, https://wwwnc.cdc.gov/EID/article/30/11/24-0610-App1.pdf). We selected a 28-day risk window on the basis of previous studies (10,19) and observations from clinical trials of COVID-19 vaccines that indicated that neutralizing antibodies against SARS-CoV-2 peaked 28 days after vaccination (20,21). We defined the control windows as the periods outside the risk windows during the observation period.

COVID-19 Vaccination

Since the implementation of a massive immunization campaign against COVID-19 in South Korea, KDCA has collected detailed information on immunization for each available vaccine. For the Ad26.COV2.S vaccine, a single dose was regarded as a complete primary series, whereas other vaccines required 2 doses for completion. We obtained immunization information on those COVID-19 vaccines and defined their receipt as exposures. To consider the potential effect of administering different types of vaccines, we

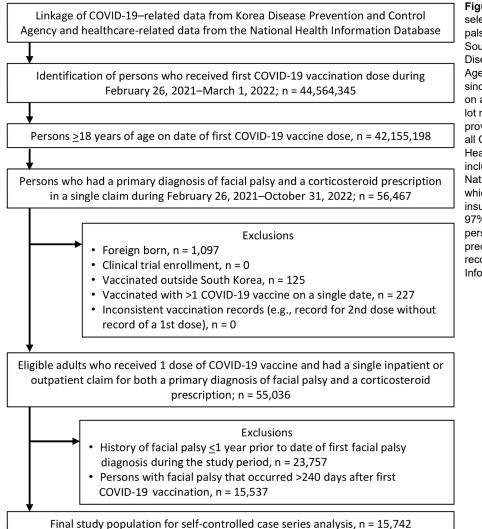


Figure 1. Flowchart of participant selection in study of risk for facial palsy after COVID-19 vaccination in South Korea, 2021-2022. The Korea **Disease Control and Prevention** Agency database has operated since 2020 and collects information on age, sex, type of vaccine and lot number, vaccinating healthcare provider, and date of vaccination for all COVID-19 vaccines. The National Health Information Database includes data collected by the National Health Insurance Service, which is the single-payer health insurance in South Korea, covering 97% of total population (≈50 million persons). The overall positive predictive value of the diagnoses recorded in the National Health Information Database is 82%.

categorized vaccinees as homologously vaccinated if they received the same type of COVID-19 vaccine throughout their dosing series and heterologously vaccinated otherwise. To assess the differential risk for FP occurrence on the basis of the biologic mechanism of action, we categorized specific vaccine types: BNT162b2 and mRNA-1273 as mRNA vaccines, ChAdOx1 nCoV-19 and Ad26.COV2.S as viral vector vaccines, and NVX-CoV2373 as a recombinant protein vaccine.

Outcomes

The outcome of interest was FP, which we defined as a primary diagnosis of FP accompanied by a prescription for oral or parenteral corticosteroid on the same day. We included oral or parenteral corticosteroid prescription to enhance the outcome validity of FP because of the clinical context of administration to patients experiencing acute FP in South Korea. The CoVaSC clinical research committee reviewed and approved our case definition. Incident FP cases were identified by ICD-10 codes G51.0, G51.8, or G51.9, and corticosteroid prescription was identified by ATC code H02AB (Appendix Table 1). We observed all FP cases that occurred within the observation period in the eligible population and calculated the incidence rate ratio (IRR) by comparing the incidence rate of FP between the risk and control windows.

Statistical Analysis

We summarized demographic characteristics according to the risk or control window, including age, sex, region of residence, health insurance type, and history of underlying conditions assessed ≤ 1 year before the first vaccine dose, including myocardial infarction, congestive heart failure, peripheral vascular

disease, stroke, dementia, chronic pulmonary disease, rheumatic disease, peptic ulcer disease, mild liver disease, diabetes mellitus, diabetic complications, hemiplegia or paraplegia, renal disease, cancer, serious liver disease, solid or metastatic tumor, and HIV infection. Statistical analyses involved *t*-tests for continuous variables and χ^2 tests for categorial variables. We considered p<0.05 statistically significant.

We measured the number of events and personyears to estimate the incidence rate of FP in the risk and control windows. We used a conditional Poisson regression model to estimate IRRs and 95% CIs, comparing the FP incidence rates in the risk window with FP incidence in the control window. In secondary analyses, we explored vaccine-specific risks, considering doses (first, second, third, fourth, or first/second [a first dose of BNT162b2, mRNA-1273, ChAdOx1 nCoV-19, or Ad26.COV2.S and a second dose of BNT162b2, mRNA-1273, or ChAdOx1 nCoV-19]) and homologous or heterologous vaccination within the dose series.

We conducted subgroup analyses stratified by age groups (18–29, 30–39, 40–49, 50–59, 60–69, 70–79, \geq 80 years of age), sex, type of insurance (health insurance or medical aid), region of residence (metropolitan or rural), Charlson Comorbidity Index score (<5 or \geq 5), and history of underlying conditions. We applied a Benjamini-Hochberg adjustment to address the inflation of type I error resulting from multiple comparisons (22).

To assess the robustness of our findings under various assumptions, we further conducted several sensitivity analyses. First, we repeated the main analysis by varying risk windows to 1–14 days or 1–42 days to assess the potential effects of different risk windows on the FP occurrence. Second, we

Baseline characteristics	Risk window, n = 5,211	Control window, n = 10,531	p value
Mean age, y (SD)	53.1 (15.9)	52.8 (16.0)	0.3147
Age group, y			0.1587
18–29	506 (9.7)	1,005 (9.5)	
30–39	597 (Ì1.Ś)	1,310 (12.4)	
40–49	990 (19.0)	1,955 (18.6)	
50–59	1,204 (23.1)	2,472 (23.5)	
60–69	1,099 (21.1)	2,176 (20.7)	
70–79	599 (Ì1.5)	1,113 (10.6)	
>80	216 (4.1)	500 (4.7)	
Sex			0.0417
Μ	2,849 (54.7)	5,938 (56.4)	
F	2,362 (45.3)	4,593 (43.6)	
Health insurance type	· · · · ·	· · ·	0.4262
National health insurance	5,045 (96.8)	10,170 (96.6)	
Medical aid	166 (3.2)	361 (3.4)	
Region of residence			0.7853
Metropolitan	3,485 (66.9)	7,020 (66.7)	
Rural	1,726 (33.1)	3,511 (33.3)	
Mean CCI (SD)	1.3 (1.8)	1.3 (1.7)	0.0540
CCI group			0.1488
CČI <5	4,866 (93.4)	9,896 (94.0)	
CCI <5	345 (6.6)	635 (6.0)	
Underlying conditions			
Myocardial infarction	41 (0.8)	115 (1.1)	0.0689
CHF	164 (3.1)	383 (3.6)	0.1144
Peripheral vascular disease	620 (Ì11.9́)	1,139 (10.8)	0.0424
Cerebrovascular disease	315 (6.0)	696 (6.6)	0.1742
Dementia	198 (3.8)	366 (3.5)	0.3031
CPD	676 (13.0)	1,408 (13.4)	0.4886
Rheumatic disease	137 (2.6)	301 (2.9)	0.4107
Peptic ulcer	848 (Ì6.3́)	1,634 (15.5)	0.2199
Mild liver disease	1,105 (21.2)	2,179 (20.7)	0.4553
Diabetes mellitus	1,190 (22.8)	2,236 (21.2)	0.0217
Diabetic complications	337 (6.5)	665 (6.3)	0.0289
Hemiplegia or paraplegia	20 (0.4)	56 (0.5)	0.2076
Renal disease	110 (2.1)	222 (2.1)	0.9906
Cancer	258 (5.0)	455 (4.3)	0.0734
Serious liver disease	15 (0.3)	21 (0.2)	0.2743
Solid metastatic tumor	22 (0.4)	32 (0.3)	0.2322
HIV infection	1 (0.0)	6 (0.1)	0.2900

*Values are no. (%) except as indicated. CCI, Charlson Comorbidity Index score; CHF, congestive heart failure; CPD, chronic pulmonary disease.

Facial Palsy after COVID-19 Vaccination

	No.	No. events		Person-years		IR	E secolar la tra	IRR	
	Risk	Control	Risk	Control	Risk	Control	Forest plot	(95% CI)	
Overall	5,211	10,531	3,148.83	7,143.04	1.65	1.47	-	1.12 (1.09–1.16)	
1st dose	1,844	10,531	1,198.58	7,143.04	1.54	1.47		1.04 (0.99–1.10)	
2nd dose	1,931	8,772	1,172.89	5,684.57	1.65	1.54	-	1.07 (1.02–1.12)	
3rd dose	1,436	1,914	777.02	1,047.12	1.85	1.83	r ₩ -1	1.01 (0.95–1.08)	
4th dose	0	0	NA	NA	NA	NA		NA	
1st/2nd dose	3,775	10,531	2,371.47	7,143.04	1.59	1.47		1.08 (1.04-1.12)	
Vaccine type (1)									
Homologous	3,580	7,392	2,136.85	5,046.89	1.68	1.46	-	1.14 (1.10-1.19)	
Pfizer-BioNTech	2,588	5,126	1,543.43	3,504.08	1.68	1.46	-	1.15 (1.09–1.20)	
AstraZeneca	250	666	133.19	463.54	1.88	1.44	·	1.31 (1.13–1.52)	
Moderna	722	1,561	454.88	1,045.60	1.59	1.49		1.06 (0.97-1.16)	
Janssen	20	39	5.37	33.67	3.73	1.16	· · · · · · · · · · · · · · · · · · ·	→ 3.22 (1.85–5.60)	
Novavax	0	0	NA	NA	NA	NA		NA	
Heterologous	1,631	3,139	1,011.98	2,096.15	1.61	1.50		1.08 (1.01-1.14)	
Vaccine type (2)									
mRNA	4,941	9,826	3,010.28	6,645.83	1.64	1.48	-	1.11 (1.07–1.15)	
mRNA only	3,380	6,774	2,031.18	4,619.93	1.66	1.47	-	1.14 (1.09–1.18)	
mRNA + others	1,561	3,052	979.10	2,025.90	1.59	1.51	-	1.06 (1.00-1.12)	
Viral vector	270	705	138.55	497.21	1.95	1.42	↓ ⊢ ∎1	1.37 (1.19–1.59)	
						0.5	1.0 2.0	4.0	

Figure 2. Forest plot of risk for facial palsy after COVID-19 vaccination in South Korea, 2021–2022. Plot assess facial palsy risk within 28 days of COVID-19 vaccination. Overall risk is shown, as is risk stratified by dose and vaccine type. Squares indicate IRRs; bars indicate 95% CIs. Vaccine types were BNT162b2 (Pfizer-BioNTech, https://www.pfizer.com), mRNA-1273 (Moderna, https://www.modernatx.com), ChAdOx1 nCoV-19 (AstraZeneca, https://www.astrazeneca.com), Ad.26.COV2.S (Janssen, https://www.janssen.com), and NVX-CoV2373 (Novavax, https://www.novavax.com). 1st/2nd dose indicates a first dose of BNT162b2, mRNA-1273, ChAdOx1 nCoV-19, or Ad26.COV2.S and a second dose of BNT162b2, mRNA-1273, or ChAdOx1 nCoV-19. IR, incidence rate; IRR, incidence rate ratio; NA, not applicable.

excluded persons who died within 7 days after FP diagnosis to exclude susceptible persons. Third, because COVID-19 infection poses a potential risk factor for FP, as reported in previous studies (23-25), we excluded persons who had COVID-19 infection within 90 days before vaccination or who had COVID-19 infection before their FP diagnosis. Fourth, we restricted cases to inpatient or emergency department visits to minimize outcome misclassification due to the definition of outcome identification. Fifth, we further restricted cases to persons simultaneously prescribed corticosteroids and antiviral medications to consider various clinical aspect of managing FP in South Korea. Last, we restricted cases to Bell's palsy diagnosis only (ICD-10 code G51.0) to account for the possibility of lower outcome validity of other FP diagnosis codes (ICD-10 codes G51.8 and G51.9). We used SAS Enterprise Guide version 8.3 (SAS Institute Inc., https:// www.sas.com) for all statistical analyses.

Results

A total of 44,564,345 persons in South Korea were administered 129,956,027 COVID-19 vaccine doses during February 26, 2021–March 1, 2022 (Figure 1). We identified 15,742 FP cases with corticosteroid prescriptions during the study period. Among those cases, 5,211 occurred within 1–28 days postvaccination, corresponding to 4.0 FP cases/1 million doses. Among the FP study population, the mean age at first COVID-19 vaccination was 53.1 (SD 15.9) years; 54.7% (n = 2,849) were male and 45.3% (n = 2,362) were female (Table 1).

Our study showed FP risk increased within 1-28 days after any COVID-19 vaccine dose (IRR 1.12 [95% CI 1.09-1.16]). We observed increased FP risks (IRR 1.07 [95% CI 1.02-1.12]) with the second dose and combined first and second doses (IRR 1.08 [95% CI 1.04-1.12]) but identified no association for the third dose (IRR 1.01 [95% CI 0.95-1.08]). Regardless of whether persons received homologous or heterologous vaccination, we observed FP increased after vaccination; for homologous doses IRR was 1.14 (95% CI 1.10-1.19) and for heterologous doses IRR was 1.08 (95% CI 1.01–1.14). Furthermore, we found increased FP risks across vaccine types, in patients vaccinated with at least one mRNA vaccine IRR was 1.11 (95% CI 1.07–1.15) and in those vaccinated with viral vector vaccines only IRR was 1.37 (95% CI 1.19-1.59) (Figure 2; Appendix Table 2).

	No. events		Perso	n-years	IR		
	Risk	Control	Risk	Control	Risk	Control	
Subgroup analyses	window	window	window	window	window	window	IRR (95% CI)
Age group, y							
18–29	506	1,005	296.63	693.22	1.71	1.45	1.18 (1.06–1.31)†
30–39	597	1,310	345.53	907.82	1.73	1.44	1.20 (1.09-1.32)†
40–49	990	1,955	579.31	1,347.76	1.71	1.45	1.18 (1.09-1.27)
50–59	1,204	2,472	760.05	1,647.95	1.58	1.50	1.06 (0.99–1.13)
60–69	1,099	2,176	683.97	1,453.30	1.61	1.50	1.07 (1.00–1.15)
70–79	599	1,113	351.39	760.24	1.70	1.46	1.16 (1.05–1.29)+
<u>></u> 80	216	500	131.96	332.74	1.64	1.50	1.09 (0.93-1.28)
Sex							
Μ	2,849	5,938	1,766.69	3,981.28	1.61	1.49	1.08 (1.03-1.13)†
F	2,362	4,593	1,382.14	3,161.77	1.71	1.45	1.18 (1.12–1.24)†
Health insurance type							
National health insurance	5,045	10,170	3,043.69	6,908.08	1.66	1.47	1.13 (1.09–1.16)†
Medical aid	166	361	105.15	234.96	1.58	1.54	1.03 (0.86–1.23)
Region of residence							
Metropolitan	3,485	7,020	2,099.87	4,770.18	1.66	1.47	1.13 (1.08–1.17)†
Rural	1,726	3,511	1,048.96	2,372.86	1.65	1.48	1.11 (1.05–1.18)†
Charlson Comorbidity Index score							
<5	4,866	9,896	2,948.41	6,705.30	1.65	1.48	1.12 (1.08–1.16)†
>5	345	635	200.43	437.75	1.72	1.45	1.19 (1.04–1.35)†

Table 2. Incidence risk and incidence risk ratios in a study of risk for facial palsy after COVID-19 vaccination, South Korea, 2021–2022*

IR, incidence rate; IRR, incidence rate ratio.

†These results remained significant (p<0.05) after applying the Benjamini-Hochberg adjustment to account for multiple comparisons (22).

IRRs were generally consistent across age groups (Table 2; Appendix Table 3), and we identified elevated risks irrespective of sex. Among male persons, IRR was 1.08 (95% CI 1.03–1.13) and for female persons IRR was 1.18 (95% CI 1.12–1.24). After applying the Benjamini-Hochberg adjustment, those results generally remained consistent.

Sensitivity analyses demonstrated the robustness of the main results. The results remained consistent across both shorter and longer risk windows. IRR was 1.15 (95% CI 1.11-1.20) for the 1-14-day window and 1.12 (95% CI 1.09-1.16) for the 1-42-day window. Excluding persons who died within 7 days after FP diagnosis showed the FP risk was comparable to the main findings (IRR 1.12 [95% CI 1.09-1.16]). Moreover, we noted increased risks for FP regardless of COVID-19 infection, and those risks increased when we excluded cases of COVID-19 infection within 90 days before vaccination (IRR 1.12 [95% CI 1.09-1.16]) and COVID-19 cases before FP diagnosis (IRR 1.13 [95% CI 1.10-1.17]). Elevated risks of FP were shown when we restricted cases to inpatient or emergency department visits (IRR 1.21 [95% CI 1.14-1.28), to persons simultaneously prescribed corticosteroids and antiviral medication (IRR 1.24 [95% CI 1.17-1.32]), and to Bell's palsy diagnosis (IRR 1.13 [95% CI 1.09-1.17]) (Figure 3).

Discussion

Using 2 large, linked databases from the national COVID-19 immunization registry and NHIS claims

data, we identified a positive association between COVID-19 vaccination and FP in the population of South Korea. The overall transient risk for postvaccination FP was primarily determined by the events that occurred within 28 days after the first and second doses of COVID-19 vaccines. We observed increased FP risks across all vaccine types, among homologous and heterologous vaccinees, and for mRNA and viral vaccines.

Our findings contribute to the evidence of a positive association between FP and COVID-19 vaccination, aligning with other studies. In a study of 2.6 million patients in Israel vaccinated with BNT162b2 during December 20, 2020-April 30, 2021, the standardized FP IRR at 21 days after the first dose was 1.36 (95% CI 1.14–1.61) compared with the period before the COVID-19 pandemic (11). Another SCCS analysis in the United Kingdom showed a positive association with FP for ChAdOx1 nCoV-19 vaccine during the 15-21 days after vaccination (IRR 1.29 [95% CI 1.08–1.56]) (14). Furthermore, our study aligns with a population-based study conducted in Hong Kong, China, which reported an overall increased risk for Bell's palsy after the first and second BNT162b2 vaccinations (adjusted odds ratio 1.54 [95% CI 1.12-2.12]) (10). Specifically, that study reported a substantially increased risk for Bell's palsy within the first 14 days after the second dose in both nested case-control (adjusted odds ratio 2.33 [95% CI 1.41-3.82]) and SCCS (IRR 2.44 [95% CI 1.32-4.50]) analyses (10). Those findings are consistent with our findings, which also showed an increased risk for FP after the second COVID-19 vaccine dose.

Although the exact biological mechanism for development of FP after vaccination is unknown, plausible links between FP and both mRNA and viral vector COVID-19 vaccines have been proposed. First, mRNA vaccines use lipid nanoparticles to encapsulate SARS-CoV-2 spike antigen (26). When the mRNA lipid nanoparticles are recognized as foreign materials, the innate immune system is induced, stimulating production of type I interferons (27–29). As the immune response acts against myelin basic proteins, proinflammatory cytokines are profoundly released, damaging the myelin sheath and thereby attenuating peripheral tolerance (30–32). That proposed mechanism is supported by prior studies where Bell's palsy occurred in patients undergoing interferon therapy (33,34).

Similar to results for previous studies (10,35), our study revealed increased FP risks in persons

ancitivity analyzes	No. events		Person-years		IR		Formatic lat	IRR
ensitivity analyses	Risk	Control	Risk Contro		Risk	Control	Forest plot	(95% CI)
arying the risk window length,								
-14 days							1	
Overall	2,779	12,963	1,622.44	8,719.20	1.71	1.49	H	1.15 (1.11–1.
1st dose	1,045	12,963	629.74	8,719.20	1.66	1.49	H B -1	1.12 (1.05-1.
2nd dose	999	10,379	589.53	6,662.31	1.69	1.56	H -	1.09 (1.02-1.
3rd dose	735	2,615	402.91	1,432.68	1.82	1.83	⊢ ⊨ ⊸i	1.00 (0.92-1.
4th dose	0	0	NA	NA	NA	NA		NA
1st/2nd dose	2.097	12,963	1,306.39	8,719.20	1.61	1.49	H H H	1.08 (1.03-1.1
arying the risk window length, –42 days	2,001	12,000	1,000100	0,1 10120				
Overall	7,314	8,428	4,508.47	5,833.17	1.62	1.44	HEH	1.12 (1.09-1.
1st dose	2,454	8,428	1,622.11	5,833.17	1.51	1.44	⊢ ∎→	1.05 (1.00-1.
2nd dose	2,817	7,307	1,766.45	4,802.68	1.59	1.52	⊢ ∎→	1.05 (1.00-1.
3rd dose	2,043	1,307	1,119.56	715.94	1.82	1.83	⊢ ⊢ ∎1	1.00 (0.93–1.
4th dose	0	0	NA	NA	NA	NA		NA
1st/2nd dose	5,271	8,428	3,388.57	5,833.17	1.56	1.44		1.08 (1.04-1
cluded deaths that occurred within days of facial palsy analysis	0,211	0,420	5,500.57	0,000.17	1.50	1.44		1.00 (1.04-1
Overall	5,211	10,530	3,148.70	7,142.88	1.65	1.47	H a rd	1.12 (1.09-1
1st dose	1,844	10,530	1,198.53	7,142.88	1.54	1.47		1.04 (0.99–1
2nd dose	1,931	8,771	1,172.81	5,684.41	1.65	1.54	I H	1.07 (1.02-1
3rd dose	1,436	1,914	777.02	1,047.12	1.85	1.83		1.01 (0.95-1
4th dose	0	0	NA	NA	NA	NA	- F	NA
1st/2nd dose	3,775	10,530	2,371.34	7,142.88	1.59	1.47	H H	1.08 (1.04-1
cluded persons infected with OVID-19 within 90 days before vaccination		10,550	2,371.34	7,142.00	1.59	1.47		1.08 (1.04—
Overall	5,211	10,531	3,148.83	7,143.04	1.65	1.47	-	1.12 (1.09-1
st dose	1,844	10,531	1,198.58	7,143.04	1.54	1.47		1.04 (0.99–1
2nd dose	1,931	8,772	1,172.89	5,684.57	1.65	1.54		1.07 (1.02-1
Brd dose	1,436	1,914	777.02	1,047.12	1.85	1.83		1.01 (0.95–1
4th dose	0	0	NA	NA	NA	NA	H B 1	NA
1st/2nd dose cluded persons with COVID-19 ior to facial palsy diagnosis	3,775	10,531	2,371.47	7,143.04	1.59	1.47		1.08 (1.04–1
Overall	5,211	10,528	3,045.38	6,976.13	1.71	1.51		1.13 (1.10–1
1st dose	1,844	10,528	1,154.23	6,976.13	1.6	1.51	H B -1	1.06 (1.01–1
2nd dose	1,931	8,770	1,128.33	5,532.14	1.71	1.59	H B -1	1.08 (1.03–1
Brd dose		1,912	762.48	1,031.94	1.88	1.85		
	1,436							1.02 (0.95–1
4th dose	0	0	NA 0.000 FC	NA	NA	NA	H B	NA
1st/2nd dose patients or emergency department ttings of outcome	3,775	10,528	2,282.56	6,976.13	1.65	1.51		1.10 (1.06–1
Overall	1,723	3.019	1,003.90	2,127.97	1.72	1.42		1.21 (1.14–1
1st dose	641	3,019	383.74	2,127.97	1.67	1.42	H H -1	1.18 (1.08–1
	651	2,489	373.06	1,642.27	1.75	1.52	-	
2nd dose 3rd dose	431	2,489 494	246.94	279.84	1.75	1.52		1.15 (1.06-1
	431	494					Ť	0.99 (0.87-1
4th dose	-	-	NA	NA	NA	NA	H - 1	NA
1st/2nd dose ersons simultaneously prescribed rticosteroid and antiviral medication	1,292	3,019	756.80	2,127.97	1.71	1.42		1.20 (1.13–1
Overall	1,658	3,064	934.49	2,149.71	1.77	1.43	H H -1	1.24 (1.17–1
1st dose	616	3,064	358.07	2,149.71	1.72	1.43	⊢ ∎	1.24 (1.17–1
2nd dose	634	2,516	346.90	1,656.02	1.83	1.52	⊢ −	1.20 (1.10–1
Brd dose	408	511	229.38	285.73	1.78	1.79	T	0.99 (0.87–1
th dose	0	0	NA 704.07	NA	NA 1 77	NA 1.42	·	NA
1st/2nd dose ersons with only a Bell's Ilsy diagnosis	1,250	3,064	704.97	2,149.71	1.77	1.43		1.24 (1.16–1
	4 000	9,897	2 056 02	6,721.93	1 66	1 47	. ⊢∎ 1	1 12 /1 00 4
Overall 1et dese	4,909		2,956.02		1.66	1.47		1.13 (1.09–1
1st dose	1,726	9,897	1,127.05	6,721.93	1.53	1.47		1.04 (0.99-1
2nd dose	1,831	8,259	1,102.05	5,343.34	1.66	1.55		1.08 (1.02-1
3rd dose	1,352	1,788	726.58	980.16	1.86	1.82		1.02 (0.95–1
Ith dose Ist/2nd dose	0 3,557	0 9,897	NA 2,229.10	NA	NA 1.60	NA 1.47		NA 1.08 (1.04–1
				6,721.93				

Figure 3. Forest plot of sensitivity analyses of risk for facial palsy after COVID-19 vaccination in South Korea, 2021–2022. Overall risk is shown, as is risk stratified by adverse events of interest. Squares indicate IRRs; bars indicate 95% CIs. 1st/2nd dose indicates a first dose of BNT162b2, mRNA-1273, ChAdOx1 nCoV-19, or Ad26.COV2.S and a second dose of BNT162b2, mRNA-1273, or ChAdOx1 nCoV-19. IR, incidence rate; IRR, incidence rate ratio; NA, not applicable.

homologously vaccinated with mRNA vaccines, especially for BNT162b2 (IRR 1.15 [95% CI 1.09-1.12]) and in those with at least a single dose of mRNA vaccine (IRR 1.11 [95% CI 1.07-1.15]). In addition, viral vector vaccines may trigger production of antibodies against virus proteins. Because of molecular mimicry between viral and peripheral nerve antigens, those antibodies can react with myelin antigens, causing demyelination. In addition, bystander activation of autoreactive T cells by viral vector vaccines can also provoke autoimmune phenomena (36,37). In line with a previous study that showed high T-cell responses after ChAdOx1 nCoV-19 vaccination (38), our study revealed elevated risks FP among patients who received homologous dosing of viral vector vaccines: IRR 1.31 (95% CI 1.13-5.52) for ChAdOx1 nCoV-19 and IRR 3.22 (95% CI 1.85-5.60) for Ad26.COV2.S vaccines. Moreover, recipients of viral vector vaccines had a much higher risk for FP (IRR 1.37 [95% CI 1.19-1.59]). However, that interpretation should be approached with caution because of the small number of FP cases, particularly with Ad26.COV2.S vaccines.

Recent studies have suggested that COVID-19 infection itself could also be a risk factor for FP onset (23) because it may lead to nerve compressions resulting from inflammation in response to viral infections (39). In South Korea, the annual incidence of Bell's palsy increased from 23.0 to 30.8 cases/100,000 persons from 2008 to 2018 (40) and reached 32.5 cases/100,000 persons during 2021-2022 (24), suggesting an increasing trend during the COVID-19 pandemic. In addition, a retrospective cohort study in South Korea indicated that COVID-19 infection is associated with a higher risk for Bell's palsy for both COVID-19 vaccine recipients (IRR 1.20 [95% CI 1.15-1.25]) and nonrecipients (IRR 1.84 [95% CI1.59-2.12]) (p<0.001) (24). In our SCCS study, a design widely used for vaccine safety evaluation, postvaccination FP risk was identified despite the previously recognized risk for FP after COVID-19 infection. The postvaccination risk is further supported by the consistent increase in FP risk observed in our sensitivity analysis, in which we excluded persons infected with COVID-19 from the study cohort.

By using a large, linked database in South Korea that covered >44 million persons vaccinated with >130 million vaccine doses, our study revealed an increased risk for FP after COVID-19 vaccination, providing supportive real-world evidence on postvaccination FP. We could address the inconsistencies observed in previous studies resulting from various limitations, including limited statistical power resulting from a small number of FP cases (13), and heterogeneity in vaccine types and doses studied for each analysis.

The first limitation of our study is the possible misclassification of FP cases because we relied on ICD-10 codes and could not apply the Brighton Collaboration's definition for FP because of the lack of laboratory data in our database (41). Nevertheless, we defined our case definition to include only FP patients with prescriptions for corticosteroids, and we applied several other definitions of FP in sensitivity analyses to assess the robustness of our main results. The second limitation is that the actual timing of FP occurrence and diagnosis recorded might differ, potentially leading cases to be included in control window. Nevertheless, our sensitivity analyses by varying the length of risk windows showed comparable findings. Furthermore, even though we conducted sensitivity analyses to adjust for the effects of COVID-19 infection, residual confounding may remain among patients who did not undergo a COVID-19 testing and were later received an FP diagnosis or COVID-19 vaccination.

In conclusion, our study revealed a transient risk for FP after any dose of COVID-19 vaccine, irrespective of homologous and heterologous dosing or vaccine type. However, of note, although the risk for FP appears elevated, the absolute number of FP cases was small, and risk for FP should not discourage patients from receiving COVID-19 vaccinations. Because FP is generally mild and manageable, physicians should monitor neurologic signs after COVID-19 vaccination and provide patients with a comprehensive evaluation of the risk-benefit profile associated with COVID-19 vaccines.

Acknowledgments

The authors thank the Korea Disease Control and Prevention Agency, National Academy of Medicine of Korea, and the National Health Insurance Service for their collaborative effort in making the nationwide data readily available for analysis and providing necessary assistance to conduct this study.

This study was supported by the Korea Disease Control and Prevention Agency (grant no. 2021-05-008). The funders of the study had no role in design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

J.-Y.S. received grants from the Ministry of Food and Drug Safety, the Ministry of Health and Welfare, the National Research Foundation of Korea, and the Government-wide R&D Fund for Infectious Disease Research and

Pharmaceutical Companies, including Pfizer, UCB, and LG Chem. No other relationships or activities have influenced the submitted work.

About the Authors

Dr. Yoon is a postdoctoral research fellow at the School of Pharmacy, Sungkyunkwan University, Suwon, South Korea. His research interests are pharmacoepidemiology, particularly in generating real-world evidence, and vaccine safety. Ms. Jung is a researcher at the College of Biohealth Regulatory Science, Sungkyunkwan University, Suwon, South Korea. Her research interests are pharmacoepidemiology using real-world data.

References

- Wollersheim S; US Food and Drug Administration. Vaccines and Related Biological Products Advisory Committee meeting: FDA review of efficacy and safety of Pfizer-BioNTech COVID-19 vaccine emergency use authorization request [cited 2023 Sep 1]. https://www.fda.gov/ media/144337/download
- 2. US Food and Drug Administration. Vaccines and Related Biological Products Advisory Committee meeting presentation: FDA review of efficacy and safety of Moderna COVID-19 vaccine emergency use authorization request [cited 2023 Sep 1]. https://www.fda.gov/media/144585/ download
- Polack FP, Thomas SJ, Kitchin N, Absalon J, Gurtman A, Lockhart S, et al.; C4591001 Clinical Trial Group. Safety and efficacy of the BNT162b2 mRNA Covid-19 vaccine. N Engl J Med. 2020;383:2603–15. https://doi.org/10.1056/ NEJMoa2034577
- El Sahly HM, Baden LR, Essink B, Doblecki-Lewis S, Martin JM, Anderson EJ, et al.; COVE Study Group. Efficacy of the mRNA-1273 SARS-CoV-2 vaccine at completion of blinded phase. N Engl J Med. 2021;385:1774–85. https://doi.org/10.1056/NEJMoa2113017
- Falsey AR, Sobieszczyk ME, Hirsch I, Sproule S, Robb ML, Corey L, et al.; AstraZeneca AZD1222 Clinical Study Group. Phase 3 safety and efficacy of AZD1222 (ChAdOx1 nCoV-19) Covid-19 vaccine. N Engl J Med. 2021;385:2348–60. https://doi.org/10.1056/NEJMoa2105290
- Sadoff J, Gray G, Vandebosch A, Cárdenas V, Shukarev G, Grinsztejn B, et al.; ENSEMBLE Study Group. Final Analysis of Efficacy and Safety of Single-Dose Ad26.COV2.S. N Engl J Med. 2022;386:847–60. https://doi.org/10.1056/ NEJMoa2117608
- Eviston TJ, Croxson GR, Kennedy PG, Hadlock T, Krishnan AV. Bell's palsy: aetiology, clinical features and multidisciplinary care. J Neurol Neurosurg Psychiatry. 2015;86:1356–61. https://doi.org/10.1136/jnnp-2014-309563
- Mutsch M, Zhou W, Rhodes P, Bopp M, Chen RT, Linder T, et al. Use of the inactivated intranasal influenza vaccine and the risk of Bell's palsy in Switzerland. N Engl J Med. 2004;350:896–903. https://doi.org/10.1056/NEJMoa030595
- Law B. Safety Platform for Emergency vACcines (SPEAC) Project: SO2-D2.1.2 priority list of COVID-19 adverse events of special interest: quarterly update December 2020. Oslo, Norway: Coalition for Epidemic Preparedness Innovations; 2020.

- Wan EYF, Chui CSL, Ng VWS, Wang Y, Yan VKC, Lam ICH, et al. Messenger RNA coronavirus disease 2019 (COVID-19) vaccination with BNT162b2 increased risk of Bell's palsy: a nested case-control and self-controlled case series study. Clin Infect Dis. 2023;76:e291–8. https://doi.org/10.1093/cid/ciac460
- Shibli R, Barnett O, Abu-Full Z, Gronich N, Najjar-Debbiny R, Doweck I, et al. Association between vaccination with the BNT162b2 mRNA COVID-19 vaccine and Bell's palsy: a population-based study. Lancet Reg Health Eur. 2021; 11:100236. https://doi.org/10.1016/j.lanepe.2021.100236
- Kim S, Kang M, Park JS, Seok HY. Risk and characteristics of Bell's palsy in adults as an adverse event following COVID-19 vaccination: a retrospective study. Acta Neurol Belg. 2023;123:2185–93. https://doi.org/10.1007/ s13760-023-02204-2
- Li X, Raventós B, Roel E, Pistillo A, Martinez-Hernandez E, Delmestri A, et al. Association between COVID-19 vaccination, SARS-CoV-2 infection, and risk of immune mediated neurological events: population based cohort and self-controlled case series analysis. BMJ. 2022;376:e068373. https://doi.org/10.1136/bmj-2021-068373
- Patone M, Handunnetthi L, Saatci D, Pan J, Katikireddi SV, Razvi S, et al. Neurological complications after first dose of COVID-19 vaccines and SARS-CoV-2 infection. Nat Med. 2021;27:2144–53. https://doi.org/10.1038/s41591-021-01556-7
- Rafati A, Pasebani Y, Jameie M, Yang Y, Jameie M, Ilkhani S, et al. Association of SARS-CoV-2 vaccination or infection with Bell palsy: a systematic review and meta-analysis. JAMA Otolaryngol Head Neck Surg. 2023;149:493–504. https://doi.org/10.1001/jamaoto.2023.0160
- Shahsavarinia K, Mahmoodpoor A, Sadeghi-Ghyassi F, Nedayi A, Razzaghi A, Zehi Saadat M, et al. Bell's palsy and COVID-19 vaccination: a systematic review. Med J Islam Repub Iran. 2022;36:85. https://doi.org/10.47176/mjiri.36.85
- Nham E, Song JY, Noh JY, Cheong HJ, Kim WJ. COVID-19 vaccination in Korea: past, present, and the way forward. J Korean Med Sci. 2022;37:e351. https://doi.org/10.3346/ jkms.2022.37.e351
- Petersen I, Douglas I, Whitaker H. Self controlled case series methods: an alternative to standard epidemiological study designs. BMJ. 2016;354:i4515. https://doi.org/10.1136/ bmj.i4515
- Walker JL, Schultze A, Tazare J, Tamborska A, Singh B, Donegan K, et al. Safety of COVID-19 vaccination and acute neurological events: a self-controlled case series in England using the OpenSAFELY platform. Vaccine. 2022;40:4479–87. https://doi.org/10.1016/j.vaccine.2022.06.010
- Zhu FC, Li YH, Guan XH, Hou LH, Wang WJ, Li JX, et al. Safety, tolerability, and immunogenicity of a recombinant adenovirus type-5 vectored COVID-19 vaccine: a dose-escalation, open-label, non-randomised, first-in-human trial. Lancet. 2020;395:1845–54. https://doi.org/10.1016/ S0140-6736(20)31208-3
- 21 García-Pérez J, González-Pérez M, Castillo de la Osa M, Borobia AM, Castaño L, Bertrán MJ, et al.; CombiVacS Study Group. Immunogenic dynamics and SARS-CoV-2 variant neutralisation of the heterologous ChAdOx1-S/BNT162b2 vaccination: secondary analysis of the randomised CombiVacS study. EClinicalMedicine. 2022;50:101529. https://doi.org/10.1016/j.eclinm.2022.101529
- 22. Benjamini Y, Hochberg Y. Controlling the false discovery rate: a practical and powerful approach to multiple testing. J R Stat Soc Series B Stat Methodol. 1995;57:289–300. https://doi.org/10.1111/j.2517-6161.1995.tb02031.x
- 23. Tamaki A, Cabrera CI, Li S, Rabbani C, Thuener JE, Rezaee RP, et al. Incidence of Bell palsy in patients with COVID-19.

JAMA Otolaryngol Head Neck Surg. 2021;147:767–8. https://doi.org/10.1001/jamaoto.2021.1266

- Kim HJ, Jeong S, Song J, Park SJ, Oh YH, Jung J, et al. Risk of Bell's palsy following SARS-CoV-2 infection: a nationwide cohort study. Clin Microbiol Infect. 2023;29:1581–6. https://doi.org/10.1016/j.cmi.2023.08.014
- Khurshid A, Khurshid M, Sohail A, Raza IM, Ahsan MK, Alam Shah MUF, et al. Facial palsy as a manifestation of COVID-19: a systematic review of cases. Health Sci Rep. 2022;5:e887. https://doi.org/10.1002/hsr2.887
- Lamprinou M, Sachinidis A, Stamoula E, Vavilis T, Papazisis G. COVID-19 vaccines adverse events: potential molecular mechanisms. Immunol Res. 2023;71:356–72. https://doi.org/10.1007/s12026-023-09357-5
- Ozonoff A, Nanishi E, Levy O. Bell's palsy and SARS-CoV-2 vaccines. Lancet Infect Dis. 2021;21:450–2. https://doi.org/10.1016/S1473-3099(21)00076-1
- Lee Y, Jeong M, Park J, Jung H, Lee H. Immunogenicity of lipid nanoparticles and its impact on the efficacy of mRNA vaccines and therapeutics. Exp Mol Med. 2023;55:2085–96. https://doi.org/10.1038/s12276-023-01086-x
- Soeiro T, Salvo F, Pariente A, Grandvuillemin A, Jonville-Béra AP, Micallef J. Type I interferons as the potential mechanism linking mRNA COVID-19 vaccines to Bell's palsy. Therapie. 2021;76:365–7. https://doi.org/10.1016/ j.therap.2021.03.005
- Principi N, Esposito S. Do vaccines have a role as a cause of autoimmune neurological syndromes? Front Public Health. 2020;8:361. https://doi.org/10.3389/ fpubh.2020.00361
- Gorodezky C, Carranza JM, Bustamante A, Yescas P, Martinez A, Alonso Vilatela ME. The HLA system and T-cell subsets in Bell's palsy. Acta Otolaryngol. 1991;111:1070–4. https://doi.org/10.3109/00016489109100758
- Mañós-Pujol M, Buendia E, Mestre M, Jimenéz R, Gil E, Menén JP, et al. Cellular immunity abnormalities in patients with recurrent Bell's palsy. Clin Otolaryngol Allied Sci. 1987;12:283-7. https://doi.org/10.1111/j.1365-2273.1987. tb00203.x
- Hwang I, Calvit TB, Cash BD, Holtzmuller KC. Bell's palsy: a rare complication of interferon therapy for hepatitis C.

Dig Dis Sci. 2004;49:619–20. https://doi.org/10.1023/ B:DDAS.0000026389.56819.0c

- 34. Yalçindağ FN, Alay C. Bell's palsy during interferon alpha 2a treatment in a case with Behçet uveitis. F1000 Res. 2013;2:245. https://doi.org/10.12688/f1000research.2-245.v1
- Shoaibi A, Lloyd PC, Wong HL, Clarke TC, Chillarige Y, Do R, et al. Evaluation of potential adverse events following COVID-19 mRNA vaccination among adults aged 65 years and older: two self-controlled studies in the U.S. Vaccine. 2023;41:4666–78. https://doi.org/10.1016/ j.vaccine.2023.06.014
- Fujinami RS, von Herrath MG, Christen U, Whitton JL. Molecular mimicry, bystander activation, or viral persistence: infections and autoimmune disease. Clin Microbiol Rev. 2006;19:80–94. https://doi.org/10.1128/CMR.19.1.80-94.2006
- 37. Kim TS, Shin EC. The activation of bystander CD8⁺ T cells and their roles in viral infection. Exp Mol Med. 2019;51:1–9. https://doi.org/10.1038/s12276-019-0316-1
- Aguinam ET, Nadesalingam A, Chan A, Smith P, Paloniemi M, Cantoni D, et al. Differential T-cell and antibody responses induced by mRNA versus adenoviral vectored COVID-19 vaccines in patients with immunodeficiencies. J Allergy Clin Immunol Glob. 2023;2:100091. https://doi.org/10.1016/j.jacig.2023.100091
- Baugh RF, Basura GJ, Ishii LE, Schwartz SR, Drumheller CM, Burkholder R, et al. Clinical practice guideline: Bell's palsy. Otolaryngol Head Neck Surg. 2013;149(Suppl):S1–27.
- 40. Lee JS, Kim YH. Epidemiological trends of Bell's palsy treated with steroids in Korea between 2008 and 2018. Muscle Nerve. 2021;63:845–51. https://doi.org/10.1002/mus.27213
- 41. Rath B, Gidudu JF, Anyoti H, Bollweg B, Caubel P, Chen YH, et al.; Brighton Collaboration Bell's Palsy Working Group. Facial nerve palsy including Bell's palsy: Case definitions and guidelines for collection, analysis, and presentation of immunisation safety data. Vaccine. 2017;35:1972–83. https://doi.org/10.1016/j.vaccine.2016.05.023

Address for correspondence: Ju-Young Shin, School of Pharmacy, Sungkyunkwan University, 2066, Seobu-ro, Jangan-gu, Suwon, Gyeonggi-do 16419, South Korea; email: shin.jy@skku.edu